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I hereby certify that this paper or fee is being deposited with the United States Postal Service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: December 21, 2001



Selena Whitaker-Paquet

Attorney Docket No. 12500.2001U  
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of **Pierre Mourad, Michel Kliot, Ali Mesiwala, Rex Patterson  
and Jeffrey G. Jarvik**

Group Art Unit: Unassigned

Application No. : 09/995,897

Filing Date : November 28, 2001

For : **SYSTEMS AND METHODS FOR MAKING  
NONINVASIVE PHYSIOLOGICAL ASSESSMENTS**

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington D.C. 20231

Dear Sir or Madam:

The documents listed on the accompanying form PTO-1449 are cited in compliance with the provisions of 37 C.F.R §§ 1.56, 1.97 and 1.98, as amended. Applicant does not concede that the references are "prior art" under 35 U.S.C. §102 or 103, and specifically reserves the right to antedate such material, as by a showing under 37 C.F.R. § 1.131 or otherwise.

The attached PTO Form 1449 identifies 39 U.S. Patents, 2 International Patents and 15 literature references. A copy of each of the cited patent references is enclosed herewith for the Examiner's convenience.

This Information Disclosure Statement is being submitted prior to receipt of any substantive Office Action, and no fee or certification is therefore required.

Respectfully submitted,

Ann W. Speckman  
Registration No. 31,881

Date: December 21, 2001



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PATENT TRADEMARK OFFICE



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**TRANSMITTAL LETTER**

Assistant Commissioner For Patents  
Washington Dc 20231

Dear Sir or Madam:

Transmitted herewith for filing in the subject patent application are the following:

1. Information Disclosure Statement;
2. Form PTO-1449 w/56 attached patent references; and
3. Return-receipt postcard.

The Commissioner is hereby authorized to charge any additional fees, which may be required in connection with the filing of these documents, or to credit any overpayment, to Deposit Account No. 19-3555. This document is filed in duplicate.

Respectfully submitted,

Ann W. Speckman  
Registration No. 31,881

Date: December 21, 2001

**SPECKMAN LAW GROUP**



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PATENT TRADEMARK OFFICE